

IN THE UNITED STATES PATENT & TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)

To: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231, USA

Docket No.: 13608ROUS02U
Inventor(s): Donald R. HORNE

11/30/01
11/30/01
09/996671
11/30/01

The following are enclosed for filing this nonprovisional application relating to:

**MANAGEMENT OF LOG ARCHIVAL AND REPORTING FOR DATA NETWORK
SECURITY SYSTEMS**

☐ CONTINUING APPLICATION. This is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part
of prior Application No. _____

☐ A Certified Copy of the application(s) from which this application claims priority under
35 U.S.C. §119 has been filed in the prior application identified above.

☐ Copy of assignment(s) to Nortel Networks, recorded with respect to the prior application
identified above.

☒ Specification, including Claims and Abstract

Pages: 101

☒ Drawings

Sheets: 8

☒ Oath or Declaration

Pages: 1

☒ New

or ☐ Copy from the prior application identified above (for cont./div., 37 CFR §1.63(d))

☐ Signed statement attached deleting inventor(s) named in the prior application

☐ The entire disclosure of the prior application is considered as being part of the disclosure
of the accompanying application and is hereby incorporated therein by reference.

☐ Certified Copy of Priority Document (if foreign priority is claimed)

☐ Assignment Papers (cover sheet(s) and document(s)). Please record and return to the undersigned.

☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

☐ Preliminary Amendment. Fees are calculated below after entry of any preliminary amendment.

☒ Return Receipt Postcard

☐ Other:

FEES: Basic Fee:

\$740.00

Assignment(s):

_____ x \$40.00 =

☐ Multiple Dependent Claims

Total Claims:

24 - 20 = 4 x \$18.00 = \$72.00

Independent Claims:

9 - 3 = 6 x \$84.00 = \$504.00

TOTAL FEE: \$1316.00

The Assistant Commissioner is hereby authorized to charge the following fees, and to charge any additional
fees which may be required or credit any overpayment, to **Deposit Account No. 14-1315:**

☒ Fees required under 37 CFR §1.116 including the Total Fee calculated above.

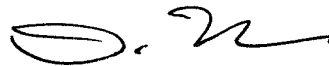
☐ Fees required under 37 CFR §1.117 (Patent Application Processing Fees).

CORRESPONDENCE ADDRESS:

NORTEL NETWORKS LIMITED
Intellectual Property Law Group
P.O. Box 3511, Station "C"
Ottawa, Ontario, Canada K1Y 4H7
Phone: 613-768-3020
Fax: 613-768-3017
Date: November 29, 2001

Yours very truly

Donald R. HORNE



By

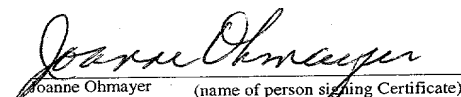
Dennis R. Haszko,
Patent Agent
Registration No. 39,575

Certificate of Mailing

I hereby certify that this correspondence is being deposited with Fedex Overnight Courier Service,
Reference Waybill No. 601101364608, in an envelope addressed to

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Crystal Plaza, Building #2, Room 1A01
ARLINGTON, Virginia 22202, U.S.A.

on: November 29, 2001


Joanne Ohmayer (name of person signing Certificate)